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SEP 15 2005

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20985 7590 06/29/2005

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Susan Sesnovich

(Depositor's name)

Susan Sesnovich

(Signature)

September 13 2005

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772 087	02/03/2004	Andrey B. Matsko	06618-927001	2568

TITLE OF INVENTION: ELECTRO-OPTICAL MODULATION AND OTHER OPTICAL APPLICATIONS USING POLED OPTICAL WHISPERING GALLERY MODE RESONATORS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

YES

\$700

\$300

\$1000

09/29/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
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HEALY, BRIAN

2883

385-028000

09/16/2005 CNGUYEN3 00000052 10772087

01 FC:2501

700.00 OP

02 FC:1504

300.00 OP

03 FC:8001

30.00 OP

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☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

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(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

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1 Fish & Richardson P.C.

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

California Institute of Technology

Pasadena, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 10

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☒ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

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Authorized Signature

Typed or printed name

Scott C. Harris

/BY
BING AI
REG. NO. 43,312

Date

9-13-2005

Registration No.

32,030

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrey B. Matsko et al. Art Unit : 2883
Serial No. : 10/772,087 Examiner : Brian Healy
Filed : February 3, 2004
Title : ELECTRO-OPTICAL MODULATION AND OTHER OPTICAL
APPLICATIONS USING POLED OPTICAL WHISPERING GALLERY
MODE RESONATORS

MAIL STOP ISSUE FEE

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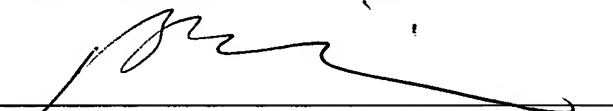
Notice of Allowance Date: June 29, 2005
Confirmation No. 2568

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed June 29, 2005, enclosed are a completed issue fee transmittal form PTOL-85b and a check for \$1,030 for the required fee, including the publication fee and patent copies. Please apply any charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

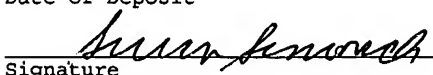
Date: 9-13-05


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